

## **ORTHOTIC PROTECTIVE DEVICE**

5

Inventor: Jeffrey L. Brewer  
1417 Shelton Drive  
Nacogdoches, Texas 75965  
(U.S. Citizenship)

Attorney Docket No.: 1001.01

10

## **UTILITY PATENT APPLICATION**

15

20

### **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Number EU392574270X Date of Deposit 07/16/03

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post

Office to addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Assistant

Commissioner for Patents, Washington, DC 20231 on \_\_\_\_\_

Date 07/16/03 Signature of Person Mailing Gary A. White Printed Name GARY A. WHITE

## **ORTHOTIC PROTECTIVE DEVICE**

### **PRIOR APPLICATIONS:**

This application claims the benefit of Provisional Patent Application Serial No.  
5 60/395,801 filed on July 16, 2003.

### **TECHNICAL FIELD:**

The present invention relates generally to an orthotic protective device, more particularly a brace for the metacarpals, carpals, radius and ulna regions and method  
10 of providing the same which provides for normal movement of a user.

### **BACKGROUND OF THE INVENTION:**

A wide range of activities such as playing a musical instrument, playing golf, opening a bottled drink or even laying bricks are all impossible activities to execute without the health of a user's arm, wrist and hands. Nevertheless, these  
15 physiological areas are most prone to injury in the human body.

Today, a number of physical therapists and physicians deal with two major types of injuries: (1) repetitive motion injuries and (2) traumatic injuries. The repetitive motion injuries develop over a period of time where consistent use of the arms,  
20 wrists and hands are required, such as assembly line tasks. Such repetitive motion injuries are treated by developing plans to address muscle and joint stress and weakness and redesigning workstations, tools and equipment.

However, traumatic injuries such as fractures and lacerations require immediate medical care. One type of traumatic injury is a sprain which can take weeks to heal

properly. A physician or therapist focuses on restoring strength and mobility and on preventing the creation of adverse scar tissue which can permanently affect the function of the hand, wrist or any joint.

Another type of traumatic injury is the result of sporting accidents, such as inline skating accidents. Here, if a sporting enthusiast falls on his/her outstretched hand, the enthusiast may suffer a Colles' fracture, a fracture of the bones of the forearm (the radius and the ulna) near the wrist (or carpal bones). In order to prevent such an injury from occurring, the enthusiast should wear protective gear including wrist protectors.

Examples of such a device is depicted in U.S. Patent No. 6,165,148 (hereinafter called '148) issued to Carr-Stock on December 26, 2000. The '148 patent provides for a wrist/hand/finger orthosis having a splint member extending from forearm to fingertips, a cover enclosing the splint member and a plurality of releasable straps connected to the cover.

An advancement in the orthotic industry was to combine elastic and non-elastic fabric in the construction of wrist braces. An example of a device incorporating these fabrics is depicted in U.S. Patent No. 6,186,969 (hereinafter called '969) issued to Bell on February 13, 2001. The '969 patent is a wrist brace having a sheet of flexible material having a first portion which is substantially non-stretchable and a second portion which is stretchable. The first and second portions allow the brace to vary the compression on the proximal portion of the wrist which is sought to be immobilized.

Protection aids for hands and wrists have continued to develop as is evident with U.S. Patent No. 6,279,159 (hereinafter called '159) issued to Ahlbaumer on August

28, 2001. The '159 patent describes a hand and wrist protective aid comprising a first protective element to be arranged on the region of the hand palm situated near the wrist. A second protective element is arranged on the inside portion of the wrist and is connected to the first protective element via a connecting element. However, 5 the forearm of a user is left exposed and unprotected.

In 2003, a wrist brace was designed to fixedly link a user's hand to a user's forearm in a rigid fashion whereby the wrist is held in a relatively neutral position as seen in U.S. Patent No. 6,540,710 issued to Cruz on April 1, 2003. The '710 patent provides for a brace, namely a one-piece unit designed to fit on top of the hand and 10 forearm.

Aside from the physical structure of the brace, other developments have involved the evolution of the materials. An example of this enhanced material is taught in U.S. Patent No. 6,080,121 (hereinafter called '121) issued to Madow on June 27, 2000. The '121 patent describes a laminated orthopedic brace made of a unique 15 blend of material combining Airprene™ with Coolmax™ material as a liner. This material blend allowed for breathability, compression and heat retention.

#### **SUMMARY OF THE INVENTION:**

The present invention in its several disclosed embodiments alleviates the drawbacks described above with respect to orthotic devices and incorporates several 20 additionally beneficial features. The present invention described herein is an orthotic protective device, namely a brace to protect and prevent hyperextension and hyperflexion movements of the metacarpal, carpal, radius and ulna regions. The orthotic protective device generally includes a hinge system located between a base

unit and a metacarpal unit. The base unit includes a longitudinal support member and the metacarpal unit includes a casing. Each unit is lined with at least one pad having a tongue. At least one releasable fastener is mated to the support member and casing and extends to the tongue of the pad. The tongue of the pad which is connected to the casing has a digit opening to accommodate the digit and is connected to an attachment means.

Apertures of the base unit are mated and connected to apertures of the hinge system which form an articulated joint allowing the hinge system to move in an upward manner allowing for natural extension of the user's wrist and in a downward fashion for unlimited flexion motion. The base unit and the hinge system may both have at least one stop formed thereon; and as these stops come into contact with one another, it limits the flexion, extension and radial-ulnar deviation. Further, an aperture of the metacarpal unit is mated and fastened to an aperture in the hinge system by a swivel joint which allows for lateral movement of the user's wrist.

Another benefit of the present invention is for each pad, such as the support pad(s), interior pad and internal pad, may include cooling and/or heating coils embedded within each pad to either provide the user a cooling or heated effect to reduce swelling and provide relief.

It is therefore a goal of the present invention to provide an orthotic protective device designed to prevent and treat injuries namely for the carpal joint, but also for the metacarpal bones, radius and ulna regions. The orthotic protective device permits normal flexion and extension of the carpal joint while simultaneously preventing involuntary hyper-flexion and hyperextension of this joint.

Another advantage of the present invention is to provide the orthotic device to be worn prophylactically to protect the carpal joint and its surrounding areas from external contact such as blows and impact injury, including but not limited to bodily, equipment or object impact. Further, the orthotic device is easy to manufacture and

5 may be utilized for both personal and commercial uses.

Additionally, the support member may be formed as a one-piece unit or as a segmented body allowing physiological options for the user. The support member may be made of various materials such as thermoplastic material which may be mass produced or customized to fit a particular individual.

10 Further advantages of the invention will be more clearly understood from the following description of illustrative embodiments thereof, to be read by way of example and not of limitation in conjunction with the apparatus and method shown. The beneficial effects described above apply generally to exemplary devices disclosed herein of the orthotic protective device. The specific structures through 15 which these benefits are delivered will be described in detail herein below.

#### **BRIEF DESCRIPTION OF THE DRAWINGS:**

The invention will now be described in greater detail in the following way of example only and with reference to the attached drawings, in which:

20 Figure 1 is a top plan view of an orthotic protective device having a hinge system allowing for movement of a patient's wrist.

Figure 2 is a bottom plan view showing an interior surface of the present invention.

Figure 3 is a plan view of the present invention depicting the device worn on a user and extending from the forearm to the hand.

Figure 4 is a bottom view of the present invention.

Figure 5 is a left side view of the present invention.

5       Figure 6 is a right side view of the present invention.

Figure 7 is a top plan view of the present invention depicting the lateral swivel capabilities of the hinge system.

Figure 8 is a side view of the present invention depicting the user's wrist extending from a horizontal position to a normal flexion position.

10

#### **MODE(S) FOR CARRYING OUT THE INVENTION:**

As required, detailed embodiments of the present invention are disclosed herein; however, it is to be understood that the disclosed embodiments are merely exemplary of the invention that may be embodied in various and alternative forms.

15       The figures are not necessarily to scale, some features may be exaggerated or minimized to show details of particular components. Therefore, specific structural and functional details disclosed herein are not to be interpreted as limiting, but merely as a basis for the claims and as a representative basis for teaching one skilled in the art to variously employ the present invention. Although those of ordinary skill in the art will readily recognize many alternative embodiments, especially in light of the illustrations provided herein, this detailed description is exemplary of the preferred embodiment of the present invention, the scope of which is limited only by the claims appended hereto.

## **ANATOMY OF THE HAND, WRIST AND FOREARM**

The elbow is a hinge joint connecting the upper arm bone (humerus) with the bones of the forearm (the radius and the ulna). Specifically, the elbow consists of three joints enclosed within a capsule and held together by muscles, tendons, and ligaments. Tendons are fibrous cords that attach muscles to bones; and ligaments are bandage-like sheaths of fibrous tissues that attach bones to bones and keep the joints and bones in alignment.

Unlike the elbow, the wrist and hand are more complex in structure. There are eight wrist bones known as carpals which support the carpal tunnel which contains tendons and the median nerve and is covered by a transverse carpal ligament.

In the hand, the metacarpal bones form the structure of the hand itself and are connected to the finger bones (the phalanges). There are three phalanges in each finger and each finger is supplied with two types of tendons: an extensor tendon on top, which straightens the finger, and a flexor tendon on the bottom, which bends the finger. Interphalangeal joints are the joints between different sections of the finger and metacarpal phalangeal joints connect the fingers to the hand.

## **THE INVENTION**

Figures 1-8 illustrate an orthotic protective device 5, namely a brace to protect and prevent hyperextension and hyperflexion movements of the metacarpal, carpal, radius and ulna regions and provide normal pitch and yaw movements of a user. The orthotic protective device 5 collectively includes a hinge system 20 positioned between a base unit 10 and a metacarpal unit (also known as the hand unit) 30.

Figures 1 and 2 show the base unit 10 incorporates a substantially rigid, elongated support member 11 including an interior surface 59 and an exterior surface 57 having a distal end 50, a middle region 53 and a proximate end 55. The elongated support member 11 is preferably shaped as an inverted "U" capable of being positioned on a dorsal side of the radius-ulna region of the user and substantially surrounds and conforms to the user's forearm to ensure a secure fit as seen in Figures 3 and 4. Here, the support member 11 may either be formed as a one-piece unit; or alternatively, as a segmented body where each segment is joined to one another to adapt to the user's specific body specifications. The support member 11 may be made of, but not limited to, such materials as moldable carbon fiber, epoxy matrix carbon fiber, Kevlar composite material, hard plastic or thermoplastic material which may be mass produced as an off-the-shelf item or customized to fit a particular individual.

Figure 2 shows the interior surface 59 of the elongated support member 11 is lined with at least one support pad 12 attached therein. The support pad(s) 12 may be fastened to the interior surface 59 by, but not limited to, hook and loop material such as Velcro™, adhesive, pins, screws, buttons and the like. The support pad(s) 12 may contour a substantial portion or entire surface area of the interior surface 59. In the most preferred embodiment, at least one of the support pads 12 has a tongue which projects beyond the surface area of the interior surface 59 and is designed to extend around the volar surface of the radius-ulna region.

At least one releasable fastener 15 is coupled to the exterior surface 57 of the elongated support member 11, preferably on the middle region 53 as depicted in Figures 3, 5 and 6. The releasable fastener 15, preferably a strap, may be fastened

to the exterior surface 57 by, but not limited to, Velcro™, adhesive, pins, screws, buttons, nuts, bolts and the like. Specifically, a first end of the releasable fastener 15 is mounted, preferably to a hook and loop patch adhered onto the exterior surface 57 of the support member 11. A second end of the releasable fastener 15 orthogonally extends from the exterior surface 57 and is attached to the tongue of the support pad 12.

The middle region 53 of the exterior surface 57 is adjacently positioned to the proximate end 55 and is positioned across the radius-ulna region. Desirably, the proximate end 55 may be asymmetrically configured to impart comfort and prevent a feeling of confinement to the user. The distal end 50 is also located adjacent to the middle region 53 and is near and extends across the carpal region.

The distal end 50 has opposing sides 70, 72, where each side includes an aperture 16 bored through the support member 11 and capable of receiving connectors 30 therein. Each aperture 16 has a reinforcement perpendicularly extending therefrom designed to surround and support the connectors 90 inserted through the apertures 16.

The hinge system 20 comprises a shell 21 having polar extended sides 22, 23 and a lip 24. An interior pad 26 is removably attached underneath the polar extended sides 22, 23 thereby providing comfort to the carpal region of the user. Each polar extended side 22, 23 has an aperture 28 capable of accepting fasteners therein. These apertures 28 directly correspond to and are positioned underneath the apertures 16 of the support member 11 in order to accept the inserted connectors 90.

The connected apertures 16, 28 form an articulated joint allowing the hinge system 20 to move at about a 45° angle in an upward vertical manner or until the hinge system 20 abuts the base unit 10, thereby limiting the extension of the carpal area specific to the user's maximum range of motion as seen in Figure 7. Figure 8  
5 depicts the articulated joint having no flexion resistance.

Operatively speaking, the joint may be flexed at about a 150° angle in a downward manner only limited by the user's natural range of motion. In an alternative embodiment, then angle of the articulated joint may be severely limited by incorporating stops on both the shell 21 and the support member 11 to limit  
10 extension, flexion and ulnar-radial deviation. As each stop abuts one another, the range of motion is inhibited.

The orthotic protective device 5 undergoes a scanning and molding process, namely by first scanning and measuring a user's physiological specifications; and then translating the measurements into a mold. The mold is then fabricated by  
15 utilizing a wet lay-up process using a combination of epoxy resin, carbon fiber and Kevlar composite materials. This process allows for normal movement of the carpal region. The resulting protective device 5 is designed to limit both extension and flexion of the carpal region specific to the patient's maximum range of motion. Further, during the scanning, molding and fabrication process, the stops are formed  
20 onto the shell 21 and the support member 11 thereby limiting the user's movement, both natural and hyperextended/hyperflexed movements.

The lip 24 has an aperture 28 designed to receive a swivel joint 95 or other connector. The aperture 28 preferably has a reinforcement orthogonally projecting therefrom and surrounding the swivel joint 95 or alternate connector.

The metacarpal unit 30 incorporates a casing 31 including an internal surface 50, and an external surface 51 having an anterior end 52 and a posterior end 54. An internal pad 35 has a tongue 100 and is removably connected to the internal surface 50 of the casing 31, preferably by a hook and loop means. Here, either a hook or 5 loop patch is fastened to the internal surface 50 and is adapted to accept the internal pad 35 as the loop or hook, respectively, are mated. The internal pad 35 substantially conforms to the surface area of the internal surface 50 and the tongue 100 projects outwardly and is designed to extend around the volar surface of the user's palm. The tongue 100 has a digit, namely a thumb, orifice 110 allowing the 10 digit to be inserted therethrough.

A first end of an attachment means 32, namely a strap, is fastened to the casing 31, preferably by a hook and loop connector being adhered to the external surface 51 of the casing 31. The attachment means 32 has the corresponding loop or hook patch, respectively, used to join to the former patch. A second end extends 15 transversely across the external surface and orthogonally extends from the external surface 51 of the casing 31 and is attached to the tongue 100 of the internal pad 35.

Each pad, namely the support pad(s) 12, interior pad 26 and internal pad 35 are resistently compressible, high surface-friction pads designed to secure the orthotic protective device 5 to the user. The pads 12, 26 and 35 may be made from such 20 materials as, but not limited to, open cell foam, closed cell foam, viscoelastic polymer-gel, cotton, liquid material, granular material or air material. In the most preferred embodiment, each pad 12, 26, 35 may include cooling and/or heating coils 42 embedded within each pad 12, 26, 35 to either provide the user a cooling or heated effect to reduce swelling and provide relief. These coils 42 are electrically

connected to a temperature control means 40 which may be manipulated by the user to produce a desired effect.

The posterior end 54 of the casing 31 has an aperture 58 which corresponds to the aperture 28 located on the lip 24 of the shell 21. The swivel joint 95 or other connector is accepted by both apertures 28, 58 alike and provides for the metacarpal unit 30 to move in a lateral fashion with respect to the hinge system 20. Specifically, the swivel joint 95 allows up to a 60° turn on each side of its horizontal axis providing for normal movement of the carpal region. In a preferred embodiment, the swivel joint 95, as well as the connectors 90 may comprise of quick release pins allowing for each addition or subtraction of either the base unit 10, metacarpal unit 30 or other accessory or accessories adapted to fit thereto. Further, in a preferred embodiment, the casing 31 and the shell 21 may be made of such materials as, but not limited to, moldable carbon fiber, epoxy matrix carbon fiber, Kevlar composite material, hard plastic or thermoplastic material which either being mass produced or having a more customized fit for an individual.

While the foregoing description is exemplary of the preferred embodiment of the present invention, those of ordinary skill in the relevant arts will recognize the many variations, alterations, modifications, substitutions and the like as are readily possible, especially in light of this description, the accompanying drawings and claims drawn thereto. Therefore, the foregoing detailed description should not be construed as a limitation of the scope of the present invention, which is limited only by the claims appended hereto. The invention is, therefore, claimed in any of its forms or modifications within the proper scope of the appended claims appropriately interpreted in accordance with the doctrine of equivalents.

**INDUSTRIAL APPLICABILITY:**

The present invention finds specific industrial applicability in the medical and  
5 athletic industries.